

EXTENDED TO NOVEMBER 15, 2017

OMB No 1545-0052

Form **990-PF****Return of Private Foundation****2016**Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation <b>ROSE-MARIE AND JACK R. ANDERSON FOUNDATION</b>		A Employer identification number <b>75-2542403</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>5000 LEGACY DRIVE</b>	Room/suite <b>140</b>	B Telephone number <b>(972) 248-7350</b>
City or town, state or province, country, and ZIP or foreign postal code <b>PLANO, TX 75024</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 68,615,863.</b> (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			<b>N/A</b>	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	<b>848.</b>	<b>848.</b>		<b>STATEMENT 1</b>
	4 Dividends and interest from securities	<b>1,207,696.</b>	<b>1,206,014.</b>		<b>STATEMENT 2</b>
	5a Gross rents	<b>66.</b>	<b>66.</b>		<b>STATEMENT 3</b>
	b Net rental income or (loss)	<b>66.</b>			
	6a Net gain or (loss) from sale of assets not on line 10	<b>514,984.</b>			
	b Gross sales price for all assets on line 6a	<b>4,373,715.</b>			
	7 Capital gain net income (from Part IV, line 2)		<b>514,984.</b>		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	<b>71,202.</b>	<b>71,202.</b>		<b>STATEMENT 4</b>	
12 Total. Add lines 1 through 11	<b>1,794,796.</b>	<b>1,793,114.</b>			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	<b>63,000.</b>	<b>15,750.</b>		<b>47,250.</b>
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees	<b>450.</b>	<b>0.</b>		<b>450.</b>
	b Accounting fees	<b>18,500.</b>	<b>4,625.</b>		<b>13,875.</b>
	c Other professional fees	<b>44,547.</b>	<b>18,611.</b>		<b>25,937.</b>
	17 Interest	<b>38.</b>	<b>38.</b>		<b>0.</b>
	18 Taxes	<b>37,350.</b>	<b>1,350.</b>		<b>0.</b>
	19 Depreciation and depletion				
	20 Occupancy	<b>27,245.</b>	<b>0.</b>		<b>27,245.</b>
	21 Travel, conferences, and meetings	<b>10,333.</b>	<b>0.</b>		<b>10,333.</b>
	22 Printing and publications				
	23 Other expenses	<b>19,052.</b>	<b>11,445.</b>		<b>447.</b>
	24 Total operating and administrative expenses. Add lines 13 through 23	<b>220,515.</b>	<b>51,819.</b>		<b>125,537.</b>
	25 Contributions, gifts, grants paid	<b>3,016,183.</b>			<b>3,016,183.</b>
26 Total expenses and disbursements. Add lines 24 and 25	<b>3,236,698.</b>	<b>51,819.</b>		<b>3,141,720.</b>	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<b>&lt;1,441,902.&gt;</b>				
b Net investment income (if negative, enter -0-)		<b>1,741,295.</b>			
c Adjusted net income (if negative, enter -0-)			<b>N/A</b>		

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	4,882,168.	3,062,849.	3,062,849.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations <b>STMT 10</b>	719,611.	3,453,273.	3,461,289.
	b Investments - corporate stock <b>STMT 11</b>	29,399,464.	29,700,282.	60,510,643.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other <b>STMT 12</b>	346.	527.	527.
	14 Land, buildings, and equipment: basis ▶ <b>22,864.</b> Less accumulated depreciation <b>STMT 13</b> ▶ <b>22,864.</b>			
15 Other assets (describe ▶ <b>STATEMENT 14</b> )	3,240,725.	583,481.	1,580,555.	
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)	38,242,314.	36,800,412.	68,615,863.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ )			
23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	38,242,314.	36,800,412.	
30 <b>Total net assets or fund balances</b>	38,242,314.	36,800,412.		
31 <b>Total liabilities and net assets/fund balances</b>	38,242,314.	36,800,412.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	38,242,314.
2 Enter amount from Part I, line 27a	2	<1,441,902.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	36,800,412.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	36,800,412.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 4,373,715.		3,858,731.	514,984.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			514,984.	
2 Capital gain net income or (net capital loss)		2		514,984.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3		N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	2,937,545.	61,721,999.	.047593
2014	2,529,220.	59,602,058.	.042435
2013	2,239,579.	51,792,325.	.043242
2012	2,025,172.	46,388,575.	.043657
2011	1,940,811.	43,744,842.	.044367
2 Total of line 1, column (d)			.221294
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			.044259
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			64,102,798.
5 Multiply line 4 by line 3			2,837,126.
6 Enter 1% of net investment income (1% of Part I, line 27b)			17,413.
7 Add lines 5 and 6			2,854,539.
8 Enter qualifying distributions from Part XII, line 4			3,141,720.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	17,413.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	2	0.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	3	17,413.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
3	Add lines 1 and 2	5	17,413.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	6a	21,044.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	6b	
6	Credits/Payments:	6c	48,000.
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6d	
b	Exempt foreign organizations - tax withheld at source	7	69,044.
c	Tax paid with application for extension of time to file (Form 8868)	8	
d	Backup withholding erroneously withheld	9	
7	Total credits and payments. Add lines 6a through 6d	10	51,631.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	11	0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		
11	Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 51,631. Refunded <input checked="" type="checkbox"/>		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<input checked="" type="checkbox"/>
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		<input checked="" type="checkbox"/>
c Did the foundation file Form 1120-POL for this year?		<input checked="" type="checkbox"/>
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		<input checked="" type="checkbox"/>
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<input checked="" type="checkbox"/>
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<input checked="" type="checkbox"/>
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		<input checked="" type="checkbox"/>
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<input checked="" type="checkbox"/>	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	<input checked="" type="checkbox"/>	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> TX		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<input checked="" type="checkbox"/>	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		<input checked="" type="checkbox"/>
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<input checked="" type="checkbox"/>

N/A

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**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	X	
SEE STATEMENT 15		
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ► N/A		
14 The books are in care of ► NEIL R. ANDERSON Telephone no. ► 972-248-7350		
Located at ► 5000 LEGACY DRIVE, SUITE 140, PLANO, TX		ZIP+4 ► 75024
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  
(3) Provide a grant to an individual for travel, study, or other similar purposes?  
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No  
☐ Yes ☒ No  
☐ Yes ☒ No  
☐ Yes ☒ No  
☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A  
☒

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROSE-MARIE ANDERSON 5000 LEGACY DRIVE, SUITE 140 PLANO, TX 75024	DIR 1.00	0.	0.	0.
NEIL R. ANDERSON 5000 LEGACY DRIVE, SUITE 140 PLANO, TX 75024	DIR, TR. ASST 35.00	SECR 63,000.	0.	0.
BARBARA ANDERSON MCDONALD 5000 LEGACY DRIVE, SUITE 140 PLANO, TX 75024	DIR, ASST SECR. 1.00	ASST TR 0.	0.	0.
GAIL ANDERSON CANIZARES 5000 LEGACY DRIVE, SUITE 140 PLANO, TX 75024	DIR, ASST TR. 1.00	SECR 0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

[illegible]

**Total number of others receiving over \$50,000 for professional services**

<b>Part IX-A</b>	<b>Summary of Direct Charitable Activities</b>
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

## Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
All other program-related investments. See instructions.		
3		

**Total.** Add lines 1 through 3

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	60,721,475.
b	Average of monthly cash balances	1b	4,346,688.
c	Fair market value of all other assets	1c	10,820.
d	Total (add lines 1a, b, and c)	1d	65,078,983.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	65,078,983.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	976,185.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	64,102,798.
6	Minimum investment return. Enter 5% of line 5	6	3,205,140.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,205,140.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	17,413.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	17,413.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,187,727.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	3,187,727.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,187,727.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,141,720.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,141,720.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	17,413.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,124,307.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				3,187,727.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			3,016,155.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 3,141,720.				
a Applied to 2015, but not more than line 2a			3,016,155.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				125,565.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				3,062,162.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section

	4942(i)(3) or		4942(i)(5)
--	---------------	--	------------

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b** 85% of line 2a

**c** Qualifying distributions from Part XII,  
line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

**(2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

**b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

**c "Support" alternative test - enter**

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

**(3) Largest amount of support from an exempt organization**

**(4) Gross investment income**

[illegible]

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 16

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b The form in which applications should be submitted and information and materials they should include:**

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

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**Part XV.** Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
FROM K-1 CAPITAL PARTNERS 301 MERRITT 7 NORWALK, CT 06851	NONE	PC	COMMUNITY SERVICE	24.
FROM K-1 THE BURTON PARTNERSHIP P.O. BOX 4643 JACKSON, WY 83001	NONE	PC	COMMUNITY SERVICE	4.
CHILDREN'S SCHOLARSHIP FUND 8 W. 38TH ST., 9TH FL NEW YORK, NY 10018	NONE	PC	EDUCATION	500,000.
HOOVER INSTITUTION STANFORD UNIVERSITY STANFORD, CA 94305	NONE	PC	EDUCATION	300,000.
MIAMI UNIVERSITY SCHOOL OF BUSINESS 725 E. CHESTNUT ST OXFORD, OH 45056	NONE	PC	EDUCATION	1,000,000.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			<b>3a</b> 3,016,183.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				<b>3b</b> 0.

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**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |  |       |     |
|--|-------|-----|
| <p><b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p><b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p><b>b</b> Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p><b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p><b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> |       | Yes |
|  | 1a(1) |     |
|  | 1a(2) |     |
|  | 1b(1) |     |
|  | 1b(2) |     |
|  | 1b(3) |     |
|  | 1b(4) |     |
|  | 1b(5) |     |
|  | 1b(6) |     |
|  | 1c    |     |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

Title

TREASURER

May the IRS discuss this return with the preparer shown below (see instr 1)?

☒ Yes ☐ No**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date \_\_\_\_\_

Check ☐ self-employed

PTIN

JUSTIN W. REEVES

Ol. W. L.

11/10/17

P01248048

Firm's name ► **WEAVER AND TIDWELL, LLP**

Firm's EIN ▶ 75-0786316

Firm's address ► 12221 MERIT DRIVE, SUITE 1400  
DALLAS, TX 75251

Phone no. 972-490-1970

Form **990-PF** (2016)

**Part IV.** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FROM K-1 CAPITAL PARTNERS - LTCG	P		
b FROM K-1 CAPITAL PARTNERS - SEC 1231 GAIN	P		
c FROM K-1 THE BURTON PARTNERSHIP - STCG	P		
d FROM K-1 THE BURTON PARTNERSHIP - LTCG	P		
e SALE OF PARTNERSHIP INVESTMENT-THE BURTON PARTNER	P		
f FROM K-1 NGN BIOMED OPPORTUNITY I - LTCL	P		
g MARKETABLE SECURITIES - ML 02077	P		
h MARKETABLE SECURITIES - ML 02077	P		
i SALE OF PARTNERSHIP - CEDAR FAIR LP-LTCG	P		
j SALE OF PARTNERSHIP - CEDAR FAIR LP-ORD GAIN	P		
k SALE OF PARTNERSHIP - CEDAR FAIR LP-SEC 1231 GAIN	P		
l ROP-GNMA 5.5% 2/20/36 49QA CMO2006	P		
m ROP-GNMA 3.0% 8/20/41 26MH CMO 2012	P		
n ROP-GNMA 3.5% 7/16/43 110CD CMO 2013	P		
o GNMA CMO 2012	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 72,789.			72,789.
b		13.	<13.>
c 799.			799.
d 182,760.			182,760.
e 2,984,284.		2,742,749.	241,535.
f		18,961.	<18,961.>
g 407,686.		443,660.	<35,974.>
h 336,227.		283,564.	52,663.
i 28,840.		17,484.	11,356.
j 3,466.			3,466.
k 712.			712.
l 35,038.		35,038.	0.
m 154,730.		154,730.	0.
n 162,532.		162,532.	0.
o 3,852.			3,852.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			72,789.
b			<13.>
c			799.
d			182,760.
e			241,535.
f			<18,961.>
g			<35,974.>
h			52,663.
i			11,356.
j			3,466.
k			712.
l			0.
m			0.
n			0.
o			3,852.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	514,984.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

ROSE-MARIE AND JACK R. ANDERSON  
FOUNDATION

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**Part XV. Supplementary Information**

<b>3 Grants and Contributions Paid During the Year (Continuation)</b>				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FRIEDMAN FDN FOR EDUCATIONAL CHOICE ONE AMERICAN SQUARE INDIANAPOLIS, IN 46282	NONE	PF	EDUCATION	750,000.
GOODMAN INSTITUTE FOR PUBLIC POLICY RESEARCH 6335 W NORTHWEST HWY #2111 DALLAS, TX 75225	NONE	PC	COMMUNITY OUTREACH	50,000.
THRIVE NETWORKS 1611 TELEGRAPH AVE. STE 1420 OAKLAND, CA 94612	NONE	PC	HEALTH CARE	92,750.
VANGUARD CHARITABLE ENDOWMT PROGRAM P.O. BOX 3075 SOUTHEASTERN, PA 19398-9917	NONE	PC	PROMOTE PHILANTHROPY	148,405.
JOINT BASE MYER-HENDERSON HALL 204 LEE AVENUE FORT MYER, VI 22211	NONE	PC	HUMAN SERVICE	25,000.
KAPPA DELTA FOUNDATION, INC 3205 PLAYERS LANE MEMPHIS, TN 38125	NONE	PF	EDUCATION	70,000.
PATHWAYS, INC 175 MILBANK AVENUE GREENWICH, CT 06830-6636	NONE	PC	HEALTHCARE	30,000.
YMCA OF GREENWICH 50 EAST PUTNAM AVENUE GREENWICH, CT 06830-6636	NONE	PC	HUMAN SERVICES	50,000.
<b>Total from continuation sheets</b>				<b>1,216,155.</b>

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH - 04F14	831.	831.	
MERRILL LYNCH - 2077	17.	17.	
TOTAL TO PART I, LINE 3	848.	848.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
AMORTIZATION OF INTEREST	<4,787.>	0.	<4,787.>	<4,787.>	
BROWN ADVISORY - DIVIDENDS	74,145.	0.	74,145.	74,145.	
BROWN ADVISORY - INTEREST	35.	0.	35.	35.	
FROM K-1 BURTON PARTNERSHIP - DIVIDENDS	11,349.	0.	11,349.	11,349.	
FROM K-1 BURTON PARTNERSHIP - INTEREST	36.	0.	36.	36.	
FROM K-1 CAI PARTNERS & CO IV, LP - DIVIDENDS	42,377.	0.	42,377.	42,377.	
FROM K-1 CAI PARTNERS & CO IV, LP - INTEREST	10,267.	0.	10,267.	10,267.	
FROM K-1 CAPITAL PARTNERS - INTEREST	61.	0.	61.	61.	
FROM K-1 NGN BIOMED OPP I - INTEREST	389.	0.	389.	389.	
MERRILL LYNCH 04F14 - DIVIDENDS	814,397.	0.	814,397.	814,397.	
MERRILL LYNCH 04F14 - GNMA INTEREST	19,928.	0.	19,928.	19,928.	
MERRILL LYNCH 04F14 - INTEREST	190.	0.	190.	190.	
MERRILL LYNCH 04F14 - US INTEREST	1,682.	0.	1,682.	0.	



MERRILL LYNCH 2077				
- DIVIDENDS	18,094.	0.	18,094.	18,094.
VANGUARD S&P 500				
INDEX - DIVIDENDS	219,533.	0.	219,533.	219,533.
TO PART I, LINE 4	1,207,696.	0.	1,207,696.	1,206,014.

FORM 990-PF	RENTAL INCOME	STATEMENT	3
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KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
FROM K-1 CAPITAL PARTNERS	1	66.
TOTAL TO FORM 990-PF, PART I, LINE 5A		66.

FORM 990-PF	OTHER INCOME	STATEMENT	4
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FROM K-1 CAPITAL PARTNERS	71,612.	71,612.	
FROM K-1 CEDAR FAIR LP	<410.>	<410.>	
TOTAL TO FORM 990-PF, PART I, LINE 11	71,202.	71,202.	

FORM 990-PF	LEGAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ATTORNEY FEE	450.	0.		450.
TO FM 990-PF, PG 1, LN 16A	450.	0.		450.

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FORM 990-PF	ACCOUNTING FEES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEE	18,500.	4,625.		13,875.
TO FORM 990-PF, PG 1, LN 16B	18,500.	4,625.		13,875.

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FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	30,830.	7,708.		23,123.
INVESTMENT FEES	200.	200.		0.
INVESTMENT FEES - ML 02077	10,703.	10,703.		0.
CONSULTING FEE	2,814.	0.		2,814.
TO FORM 990-PF, PG 1, LN 16C	44,547.	18,611.		25,937.

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FORM 990-PF	TAXES	STATEMENT	8
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FROM K-1 CAPITAL PARTNERS				
- FOREIGN TAXES	1,350.	1,350.		0.
EXCISE TAXES	36,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	37,350.	1,350.		0.

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## FORM 990-PF

## OTHER EXPENSES

## STATEMENT

9

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FROM K-1 BURTON PARTNERSHIP - PORTFOLIO DEDUCTIONS	1,749.	1,749.		0.
FROM K-1 CAPITAL PARTNERS - PORTFOLIO DEDUCTIONS	810.	810.		0.
FROM K-1 CAPITAL PARTNERS - OTHER DEDUCTIONS	31.	31.		0.
FROM K-1 NGN BIOMED - PORTFOLIO DEDUCTIONS	417.	417.		0.
MISCELLANEOUS FEES	6,650.	0.		0.
FROM K-1 CAI PTRS & CO IV - OTHER PORTFOLIO DEDUCTIONS	8,430.	8,430.		0.
FROM K-1 CEDAR FAIR - NON-DEDUCTIBLE EXPENSES	60.	0.		0.
INSURANCE	425.	0.		425.
FROM K-1 CAPITAL PTRS - NON-DEDUCTIBLE EXPENSES	295.	0.		0.
FROM K-1 THE BURTON PARTNERSHIP - NON-DEDUCTIBLE EXP	155.	0.		0.
OTHER EXPENSES	30.	8.		22.
TO FORM 990-PF, PG 1, LN 23	19,052.	11,445.		447.

## FORM 990-PF

## U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

## STATEMENT

10

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT-US GOVERNMENT OBLIGATIONS	X		362,525.	370,329.
SEE ATTACHED STATEMENT-STATE AND MUNICIPAL OBLIGATIONS		X	3,090,748.	3,090,960.
TOTAL U.S. GOVERNMENT OBLIGATIONS			362,525.	370,329.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			3,090,748.	3,090,960.
TOTAL TO FORM 990-PF, PART II, LINE 10A			3,453,273.	3,461,289.

FORM 990-PF	CORPORATE STOCK	STATEMENT 11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT-CORPORATE STOCKS	29,700,282.	60,510,643.
TOTAL TO FORM 990-PF, PART II, LINE 10B	29,700,282.	60,510,643.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 12
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INTEREST RECEIVABLE	COST	527.	527.
TOTAL TO FORM 990-PF, PART II, LINE 13		527.	527.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT 13
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
ORGANIZATIONAL COSTS	22,864.	22,864.	0.
TOTAL TO FM 990-PF, PART II, LN 14	22,864.	22,864.	0.

FORM 990-PF	OTHER ASSETS	STATEMENT 14
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
INVESTMENT IN NGN BIOMED OPPORTUNITY I, L.P.	73,349.	47,582.	21,471.
INVESTMENT IN CAPITAL PARTNERS PRIVATE EQUITY INCOME FUND, L.P.	147,102.	69,991.	163,157.
INVESTMENT IN BURTON PARTNERSHIP, L.P.	2,549,751.	0.	0.
INVESTMENT IN CAI PARTNERS AND COMPANY IV, LP	454,178.	391,548.	1,321,567.
SECURITY DEPOSIT	1,743.	1,743.	1,743.
INVESTMENT IN CEDAR FAIR DEP UNT LP	14,602.	0.	0.

DEFERRED TAX-EXEMPT ACCRUED			
INTEREST PAID	0.	62,500.	62,500.
DEFERRED TAX-EXEMPT AMORTIZATION	0.	10,117.	10,117.
TO FORM 990-PF, PART II, LINE 15	3,240,725.	583,481.	1,580,555.

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 12	STATEMENT 15
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EXPLANATION

THE CONTRIBUTION OF \$148,405 TO THE VANGUARD CHARITABLE ENDOWMENT PROGRAM WAS TREATED IN THIS RETURN AS A QUALIFYING DISTRIBUTION. THIS AMOUNT IS RESERVED FOR FUTURE CONTRIBUTION TO AN UNDETERMINED ORGANIZATION(S), BUT ONE THAT IS ORGANIZED AND OPERATED EXCLUSIVELY FOR RELIGIOUS, CHARITABLE, SCIENTIFIC, LITERARY, OR EDUCATIONAL PURPOSES. IN MAKING THIS CONTRIBUTION, THE DIRECTORS OF THE FOUNDATION BELIEVE THE VANGUARD CHARITABLE ENDOWMENT PROGRAM IS WELL SUITED TO ASSIST THE FOUNDATION WITH THE SELECTION AND EVALUATION OF CERTAIN QUALIFYING ORGANIZATIONS WHOSE MISSION AND PURPOSE ARE CLOSELY ALIGNED WITH THE FOUNDATION'S MISSION AND PURPOSE.

FORM 990-PF	PART XV - LINE 1A	STATEMENT 16
	LIST OF FOUNDATION MANAGERS	

NAME OF MANAGER

ROSE-MARIE ANDERSON  
NEIL R. ANDERSON

ROSE-MARIE AND JACK R. ANDERSON FOUNDATION  
 FORM 990-PF, PART II, LN 10 ATTACHMENT - INVESTMENT  
 12/31/16

	<u>SHARES</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
<b><u>U.S. GOVERNMENT OBLIGATIONS</u></b>				
2036-02 GNMA 49QA 5.5%	2,370	129,227	92,043	92,727
2041-08 GNMA 26MH 3%	5,876	154,730	0	0
2043-07 GNMA 110CD 3.5%	9,085	435,654	270,482	277,602
TOTAL U S GOVERNMENT OBLIGATIONS		719,611	362,525	370,329
<b><u>STATE AND MUNICIPAL OBLIGATIONS</u></b>				
FLORIDA ST BOE LOTTERY 5% 7/1/21	3,000,000	0	3,090,748	3,090,960
TOTAL STATE AND MUNICIPAL OBLIGATIONS		0	3,090,748	3,090,960
<b><u>CORPORATE STOCK</u></b>				
SPY (SPDRS) 5/04	9,100	1,148,541	1,148,541	2,034,122
SPY (SPDRS) 8/04	18,650	2,353,878	2,353,878	4,168,833
SPY (SPDRS) 2005	36,850	4,650,960	4,650,960	8,237,077
SPY (SPDRS) 2006	15,500	1,956,306	1,956,306	3,464,714
SPY (SPDRS) 2007	27,100	3,420,380	3,420,380	6,057,660
SPY (SPDRS) 2008	8,200	1,034,949	1,034,949	1,832,945
SPY (SPDRS) 2009	1,317	166,223	166,223	294,389
SPY (SPDRS) 2010	1,621	204,592	204,592	362,342
SPY (SPDRS) 2011	11,308	1,427,220	1,427,220	2,527,676
SPY (SPDRS) 2012	2,699	340,650	340,650	603,307
SPY (SPDRS) 2013	2,778	350,621	350,621	620,966
SPY (SPDRS) 2014	2,015	254,320	254,320	450,413
SPY	4,350	500,100	500,100	972,356
UNH (UNITED HEALTH)	31,279	1,256,232	1,256,232	5,005,891
UNH JRA 2006	4,990	244,111	244,111	798,600
UNH 11/2000	1,430	7,289	7,289	228,857
UNH JRA	10,091	497,624	497,624	1,614,964
UNH RMA 1998	12,210	998,390	998,390	1,954,088
UNH 9/28/07	16,000	776,160	776,160	2,560,640
VANGUARD S&P 500 INDEX	53,344	3,998,011	4,217,544	11,019,260
ABOTT LABS	720	0	28,878	28,808
ACTIVISION BLIZZARD INC	800	0	32,081	28,888
ADOBE SYS DEL	400	38,126	55,078	61,770
AKAMAI TECHNOLOGIES INC	250	18,954	0	0
ALIGN TECH INC	450	33,429	34,259	43,259
ALPHABET INC	70	103,380	48,595	54,027
AMC ENTMT HLDGS INC	1,000	0	31,011	33,650
ANADARKO PETE CORP	250	0	11,782	17,433
APPLE, INC	200	13,707	13,707	23,164
AT&T	862	29,994	0	0
BAIDU INC	100	20,259	0	0
BERKSHIRE HATHAWAY CLB	200	13,607	0	0

ROSE-MARIE AND JACK R. ANDERSON FOUNDATION  
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	SHARES	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
BROADCOM LTD	300	0	48,235	53,031
CARNIVAL CORP	375	0	19,956	19,522
CHEVRON CORP 144X5	220	17,188	17,188	25,894
ECOLAB INC	400	44,404	0	0
EXPRESS SCRIPTS HLDG	800	71,843	0	0
FACEBOOK INC	400	0	48,741	46,020
GENERAL ELECTRIC	650	27,902	18,283	20,540
HOME DEPO	350	9,174	9,174	46,928
HORIZON PHARMA PLC	3,259	40,425	40,425	52,731
INDEXX LAB INC	125	0	14,589	14,659
INGREDION INC	250	19,845	0	0
ISHARES, INC MSCI JAP INDEX	1,500	18,985	0	0
JPMORGAN CHASE & CO	1,000	46,533	46,533	86,290
KVH INDUSTRIES INC DEL	2,000	26,972	0	0
MACQUARIE INFRASTRUCTURE CO	700	18,550	13,182	57,190
MICROSOFT	1,000	34,478	34,478	62,140
NORTHROP GRUMMAN CORP	225	28,256	40,923	52,330
ROYAL CARIBBEAN CRUISES	150	21,699	6,579	12,306
SEALED AIR CORP	600	64,763	29,272	27,204
STARWOOD WYAPOINT	1,000	25,350	0	0
VIASAT INC	500	31,429	0	0
SCHLUMBERGER LTD	125	18,774	9,387	10,494
TENCENT HOLDINGS LTD	1,400	0	34,450	33,908
TEX PAC L TR SUB	125	0	22,463	37,096
THE HOWARD HUGHES CORP	500	0	51,486	57,050
VEEVA SYS INC	325	0	12,608	13,227
WELLS FARGO	2,500	107,270	112,453	137,775
MIDCAP SPDR TR SER 1	7,800	995,283	1,136,068	2,353,494
GOLDMAN SACHS STRA INC FUND	110,966	1,114,530	1,114,530	1,069,715
CHOICE HOTELS	20,000	757,800	757,800	1,121,000
TOTAL CORPORATE STOCK		29,399,464	29,700,282	60,510,643

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